General Applicability

- 50th Annual Institute on Securities Regulation
- 51st Annual Institute on Securities Regulation
- Private Placements and Hybrid Securities Offerings 2018
- Private Placements and Hybrid Securities Offerings 2019
- The SEC Speaks in 2018
- The SEC Speaks in 2019

Introductory

- 14th Annual SEC Reporting & FASB Forum for Mid-sized & Smaller Companies
- 15th Annual SEC Reporting & FASB Forum for Mid-sized & Smaller Companies
- 33rd Midyear SEC Reporting & FASB Forum
- 34th Annual SEC Reporting & FASB Forum
- 35th Annual SEC Reporting & FASB Forum
- 50th Annual Institute on Securities Regulation
- 51st Annual Institute on Securities Regulation
- Anti-Money Laundering 2018: Risks, Due Diligence and Compliance in an Evolving Legal and Technological World
- Anti-Money Laundering 2019: Risks, Due Diligence and Compliance in an Evolving Legal and Technological World
- Basics of Mutual Funds and Other Registered Investment Companies 2018
- Basics of Mutual Funds and Other Registered Investment Companies 2019
- Broker/Dealer Regulation and Enforcement 2018
- Broker/Dealer Regulation and Enforcement 2019
- Broker-Dealer Retail Wealth Management 2018: Current Legal and Compliance Issues Facing Dual Registrants
- Cool Compensation Considerations for the Private Company 2018: Pay, Performance and Perspectives

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• Cool Compensation Considerations for the Private Company 2019: Pay, Performance and Perspectives
• Dually-Registered Broker-Dealers and Advisers—Regulatory, Compliance and Enforcement 2019
• Fundamentals of Broker-Dealer Regulation 2018
• Fundamentals of Broker-Dealer Regulation 2019
• Fundamentals of Investment Adviser Regulation 2018
• Fundamentals of Investment Adviser Regulation 2019
• How to Prepare an Initial Public Offering 2018
• How to Prepare an Initial Public Offering 2019
• Private Placements and Hybrid Securities Offerings 2018
• Private Placements and Hybrid Securities Offerings 2019
• The SEC Speaks in 2018
• The SEC Speaks in 2019
• Securities Arbitration 2018
• Securities Arbitration 2019
• Securities Law and Practice 2018: How the SEC Works
• Securities Law and Practice 2019: How the SEC Works
• Securities Offerings 2018: A Public Offering from Start to Finish
• Securities Offerings 2019: A Public Offering from Start to Finish
• Understanding the Securities Laws 2018
• Understanding the Securities Laws 2019
• Venture Capital 2018: Nuts and Bolts
• Venture Capital 2019: Nuts and Bolts

Intermediate

• 14th Annual SEC Reporting & FASB Forum for Mid-sized & Smaller Companies
• 15th Annual SEC Reporting & FASB Forum for Mid-sized & Smaller Companies

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<td>• Dually-Registered Broker-Dealers and Advisers—Regulatory, Compliance and Enforcement 2019</td>
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• Preparing For Shareholder Activism: What You Need To Be Doing Now 2018
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• Private Placements and Hybrid Securities Offerings 2018
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• The SEC Speaks in 2018
• The SEC Speaks in 2019
• Securities Filings 2018: Practical Guidance in a Changing Environment
• Securities Litigation 2018: From Investigation to Trial
• Securities Litigation 2019: From Investigation to Trial
• Seventeenth Annual Directors’ Institute on Corporate Governance
• Sixteenth Annual Directors’ Institute on Corporate Governance
• Storming the Gatekeepers: When Compliance Officers and In-House Lawyers Are at Risk 2018
• Storming the Gatekeepers: When Compliance Officers and In-House Lawyers Are at Risk 2019
• Understanding the Securities Laws 2018
• Understanding the Securities Laws 2019
• Venture Capital 2018: Nuts and Bolts
• Venture Capital 2019: Nuts and Bolts

Advanced
• 14th Annual SEC Reporting & FASB Forum for Mid-sized & Smaller Companies
• 15th Annual SEC Reporting & FASB Forum for Mid-sized & Smaller Companies
• 33rd Midyear SEC Reporting & FASB Forum
• 34th Annual SEC Reporting & FASB Forum
• 35th Annual SEC Reporting & FASB Forum
• 50th Annual Institute on Securities Regulation
• 51st Annual Institute on Securities Regulation
• Advanced Venture Capital 2018
• Advanced Venture Capital 2019
• Corporate Governance - A Master Class 2018
• Corporate Governance - A Master Class 2019
• Global Capital Markets & the U.S. Securities Laws 2018
• Global Capital Markets & the U.S. Securities Laws 2019
• Mergers & Acquisitions 2018: Advanced Trends and Developments
• Mergers & Acquisitions 2019: Advanced Trends and Developments
• Private Placements and Hybrid Securities Offerings 2018
• Private Placements and Hybrid Securities Offerings 2019
• The SEC Speaks in 2018
• The SEC Speaks in 2019

International

• Eighteenth Annual Institute on Securities Regulation in Europe: Practical Implications of U.S. Law on EU Practice
• Seventeenth Annual Institute on Securities Regulation in Europe: Practical Implications of U.S. Law on EU Practice

Interactive Learning Center

• Financial Statements Game

One-Hour Briefings

• Accounting Challenges for Cryptocurrencies and ICOs
• Avoiding Valuation Surprises in Accounting for M&A Transactions – Top 10 Issues
(One-Hour Briefings continued)

• Constitutionality of the SEC’s ALJ Appointment Process: After the SEC’s Reversal, and SCT Cert, What Next?
• FASB’s New CECL Standard Impacting Loans, Mortgages, Investment Securities and Other Financial Instrument Impairments - Governance, Risk and Compliance (GRC), and Legal Implementation and Disclosure Considerations
• Fourth Annual Form 10-K Tune-Up
• Gearing-Up for Your Third-Quarter Form 10-Q
• Helping Lawyers Look for Red Flags in Financial Statements
• Shareholder Litigation Developments and Trends
• Tax Reform – Getting the Accounting and Disclosure Right!
• Third Annual Dealing with MD&A Hot Topics

Publications

• Accountants’ Liability, Second Edition
• Broker-Dealer Regulation, Second Edition
• Consumer Financial Services Answer Book, 2017 Edition
• Corporate Compliance Answer Book, 2019 Edition
• Corporate Legal Departments: Practicing Law in a Corporation, Fourth Edition
• Corporate Whistleblowing in the Sarbanes-Oxley/Dodd-Frank Era, Second Edition
• Covered Bonds Handbook
• Cybersecurity: A Practical Guide to the Law of Cyber Risk
• Derivatives Deskbook: Close-Out Netting, Risk Mitigation, Litigation, Second Edition
• Deskbook on Internal Investigations, Corporate Compliance and White Collar Issues, Second Edition
• Directors’ and Officer’ Liability: Current Law, Recent Developments, Emerging Issues, Third Edition
• Exempt and Hybrid Securities Offerings, Third Edition
• Financial Institutions Answer Book: Law, Governance, Compliance, 2018 Edition
• Financial Services Regulation Deskbook
• Financially Distressed Companies Answer Book, 2018 Edition
• Global Business Fraud and the Law: Preventing and Remedying Fraud and Corruption
• Health Care Mergers and Acquisitions Answer Book, 2017 Edition
• Hedge Fund Regulation, Second Edition
• Initial Public Offerings: A Practical Guide to Going Public, Second Edition
• Insider Trading Law and Compliance Answer Book, 2018 Edition
• Insurance and Investment Management M&A Deskbook
• International Corporate Practice: A Practitioner’s Guide to Global Success
• Master the 8-K
• Mergers, Acquisitions and Tender Offers: Law and Strategies: Corporate, Securities, Taxation, Antitrust, Cross Border
• Mutual Funds and Exchange Traded Funds Regulation, Third Edition
• Private Equity Funds: Formation and Operation, Second Edition
• Proskauer on Privacy: A Guide to Privacy and Data Security Law in the Information Age, Second Edition
• Public Company Deskbook: Complying with Federal Governance & Disclosure Requirements, Third Edition
• SEC Compliance and Enforcement Answer Book, 2017 Edition
• Securities Investigations: Internal, Civil and Criminal, Second Edition
• Securities Law and Practice Deskbook, Sixth Edition
• The Securities Law of Public Finance, Third Edition
• Soderquist on Corporate Law and Practice, Fourth Edition
• Variable Annuities and Variable Life Insurance Regulation, Second Edition