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- Broker-Dealer Retail Wealth Management 2018: Current Legal and Compliance Issues Facing Dual Registrants
- Dually-Registered Broker-Dealers and Advisers — Regulatory, Compliance and Enforcement 2019

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- Social Media 2018: Addressing Corporate Risks
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- Alternative Finance Summit 2018: Marketplace Lending, Cryptocurrency and Crowdfunding
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- Anti-Money Laundering 2019: Risks, Due Diligence and Compliance in an Evolving Legal and Technological World
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• Assessing Your Compliance and Ethics Program
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• CFIUS in a Time of Change: How Investors and Counsel Must Adapt to a Changing Environment for Foreign Investment Reviews
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- Compliance Inspections and Examinations (The SEC Speaks in 2018: Workshop E)
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- Constitutionality of the SEC’s ALJ Appointment Process: After the SEC’s Reversal of Position, What Next?
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- Cybersecurity and Data Protection in International Arbitration: Practical Suggestions for Case Management and Compliance
- D.C. Circuit Rules on FCC 2015 TCPA Omnibus Order: What Does It Mean?
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- Economic Sanctions: Recent Developments and Looming Changes
- Employment Tax Considerations of the Tax Cuts and Jobs Act
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- Firing Without Fear: Best Practices for Navigating the Employee Termination Process
- Food and Beverage Labeling and Marketing – Latest Trends
- Fourth Annual Form 10-K Tune-Up
- The Future of Long-Term Services and Supports (LTSS): Affordability and Capacity Challenges
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- Getting Your Message Across: Best Practices for Private Companies
- Global Advertising Law: Year in Review
- Global Employee Communications and Overseas Translation Mandates: When Is It Not OK to Communicate with Overseas Workforces in English?
- Global Sweepstakes and Contests
- Helping Lawyers Look for Red Flags in Financial Statements
- How Much Compliance is Enough? An Enterprise Risk-Based Approach to Finding Your Compliance Investment Sweet Spot
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- How to Leverage HR Processes, Tools, and Data to Optimize Your Compliance Program

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- Integrating Enterprise Risk Management, Cybersecurity and Compliance in an Era of Big Data Breaches and Vulnerability
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- Lucia v. SEC: Supreme Court Holds SEC ALJs Are Not Properly Appointed Under the U.S. Constitution
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- Tax Reform – Getting the Accounting and Disclosure Right!

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• Tax Reform – Significant Changes for the Energy Industry
• TCPA Update 2018: Current Compliance Challenges for Companies
• Third Annual Dealing with MD&A Hot Topics
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• Basics of Mutual Funds and Other Registered Investment Companies 2019
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• How to Read Financial Statements 2018
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- FCPA: Cross-Jurisdictional M&A Due Diligence Case Study
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• Enforcement 2018: Perspectives from Government Agencies
• Enforcement 2019: Perspectives from Government Agencies
• The Foreign Corrupt Practices Act and International Anti-Corruption Developments 2018
• The Foreign Corrupt Practices Act and International Anti-Corruption Developments 2019
• Global Capital Markets & the U.S. Securities Laws 2018
• Global Capital Markets & the U.S. Securities Laws 2019
• Global Investment Funds 2018
• Global Investment Funds 2019
• Government Investigations 2018: Investigations Arising From Data Breach and Privacy Concerns and Parallel Proceedings
• Government Investigations 2019: Investigations Arising From Data Breach and Privacy Concerns and Parallel Proceedings
• Hedge Fund and Private Equity Enforcement & Regulatory Developments 2018
• Hedge Fund and Private Equity Enforcement & Regulatory Developments 2019
• Insider Trading Law 2018
• Insider Trading Law 2019
• Internal Investigations 2018
Enforcement

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• Internal Investigations 2019
• Investment Management Institute 2018
• Investment Management Institute 2019
• Private Fund Regulatory Developments and Compliance Challenges 2018
• Private Fund Regulatory Developments and Compliance Challenges 2019
• The SEC Speaks in 2018
• The SEC Speaks in 2019
• Seventeenth Annual Institute on Securities Regulation in Europe: Practical Implications of U.S. Law on EU Practice
• Understanding the Securities Laws 2018
• Understanding the Securities Laws 2019

Advanced

• 50th Annual Institute on Securities Regulation
• 51st Annual Institute on Securities Regulation
• Advanced Swaps & Other Derivatives 2018
• Advanced Swaps & Other Derivatives 2019
• Coping with U.S. Export Controls and Sanctions 2018
• Coping with U.S. Export Controls and Sanctions 2019
• Corporate Governance – A Master Class 2018
• Corporate Governance – A Master Class 2019
• Eighteenth Annual Institute on Securities Regulation in Europe: Practical Implications of U.S. Law on EU Practice
• Private Fund Regulatory Developments and Compliance Challenges 2018
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- Accounting Challenges for Cryptocurrencies and ICOs
- Attorney Ethics - Counseling Clients Undertaking an ICO
- Cannabis Use in the Health and Beauty Industry: The Regulatory Landscape
- Clinical Research Law and Compliance: 2017-2018 Regulatory and Enforcement Review
- Compliance Inspections and Examinations (The SEC Speaks in 2018: Workshop E)
- Constitutionality of the SEC’s ALJ Appointment Process: After the SEC’s Reversal of Position, What Next?
- Enforcement (The SEC Speaks in 2018: Workshop C)
- EU’s Fifth Anti-Money Laundering Directive
- FCPA: Cross-Jurisdictional M&A Due Diligence Case Study
- FinCEN’s Customer Due Diligence Rule – What You Need to Know Now
- Fourth Annual Form 10-K Tune-Up
- Lucia v. SEC: Supreme Court Holds SEC ALJs Are Not Properly Appointed Under the U.S. Constitution
- Primer on the Foreign Agents Registration Act (“FARA”) 2018
- Revisions to the U.S. Department of Justice’s FCPA Corporate Enforcement Policy and Other Current Trends in FCPA Investigations
- Spoofing Enforcement – Recent Developments
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• Bank Asset Management and Collective Investment Funds 2018
• Bank Asset Management and Collective Investment Funds 2019
• Basics of Mutual Funds and Other Registered Investment Companies 2018
• Basics of Mutual Funds and Other Registered Investment Companies 2019
• Fundamentals of Broker-Dealer Regulation 2018
• Fundamentals of Broker-Dealer Regulation 2019
• Fundamentals of Investment Adviser Regulation 2018
• Fundamentals of Investment Adviser Regulation 2019
• Fundamentals of Swaps & Other Derivatives 2018
• Fundamentals of Swaps & Other Derivatives 2019
• Global Investment Funds 2018
• Global Investment Funds 2019
• How to Prepare an Initial Public Offering 2018
• How to Prepare an Initial Public Offering 2019
• Securities Arbitration 2018
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• Securities Law and Practice 2018: How the SEC Works
• Securities Offerings 2018: A Public Offering from Start to Finish
• Securities Offerings 2019: A Public Offering from Start to Finish
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• Advanced Venture Capital 2018
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- Global Capital Markets & the U.S. Securities Laws 2018
- Global Capital Markets & the U.S. Securities Laws 2019
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- Global Investment Funds 2019
- Hedge Fund and Private Equity Enforcement & Regulatory Developments 2018
- Hedge Fund and Private Equity Enforcement & Regulatory Developments 2019
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- Hedge Fund Management 2019
- New Developments in Securitization 2018
- New Developments in Securitization 2019
- Securities Arbitration 2018
- Securities Arbitration 2019
- Understanding Financial Products 2018
- Understanding Financial Products 2019

Advanced

- Advanced Swaps & Other Derivatives 2018
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- Advanced Venture Capital 2018
- Advanced Venture Capital 2019

One-Hour Briefings

- Commodity Exchange Act Basics
- FBOs — New Growth Opportunities and Continuing Challenges for U.S. Operations of Foreign Banks
- Fed’s TLAC Rule
- Fund Finance: Terms and Structures
- Tax Liability Insurance: What You Need to Know Now
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- 50th Annual Institute on Securities Regulation
- 51st Annual Institute on Securities Regulation

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- 34th Annual SEC Reporting & FASB Forum
- 34th Midyear SEC Reporting & FASB Forum
- 35th Annual SEC Reporting & FASB Forum
- 50th Annual Institute on Securities Regulation
- 51st Annual Institute on Securities Regulation
- Acquiring or Selling the Privately Held Company 2018
- Acquiring or Selling the Privately Held Company 2019
- Advising Nonprofit Organizations 2018
- Alternative Finance Summit 2018: Marketplace Lending, Cryptocurrency and Crowdfunding
- Alternative Finance Summit 2019: Marketplace Lending, Cryptocurrency and Crowdfunding
- The Attorney-Client Privilege and Internal Investigations 2019
- Bank Asset Management and Collective Investment Funds 2018
- Bank Asset Management and Collective Investment Funds 2019
- Basics of Accounting for Lawyers 2018
- Basics of Accounting for Lawyers 2019
- Bridge-the-Gap: Professional Practice for Newly Admitted Attorneys 2018
- Bridge-the-Gap I: Ethics and Skills for Newly Admitted New York Attorneys 2018
- Bridge-the-Gap I: Ethics and Skills for Newly Admitted New York Attorneys 2019
- Bridge-the-Gap II: Ethics and Skills for Newly Admitted New York Attorneys 2018
- Bridge-the-Gap II: Ethics and Skills for Newly Admitted New York Attorneys 2019
- Broker/Dealer Regulation and Enforcement 2018
- Broker/Dealer Regulation and Enforcement 2019
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- Cool Compensation Considerations for the Private Company 2018: Pay, Performance and Perspectives
- Cool Compensation Considerations for the Private Company 2019: Pay, Performance and Perspectives
- Delaware Law Developments 2018: What All Business Lawyers Need to Know
- Delaware Law Developments 2019: What All Business Lawyers Need to Know
- Doing Business in and with Emerging Markets 2018
- Doing Business in and with Emerging Markets 2019
- Drafting and Negotiating Corporate Agreements 2018
- Drafting and Negotiating Corporate Agreements 2019
- Ethics and Conflicts of Interest in Law Practice 2019
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- Ethics for Corporate Lawyers 2019
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- Ethics in Discovery 2018
- Ethics in Discovery 2019
- Fashion and Retail Law 2019: Trends and Developments
- Hot Issues in Executive Compensation 2018
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- Hot Topics in Electronic Discovery 2019: What Corporate and Outside Counsel Need to Know
- How to Read Financial Statements 2018
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- Illinois Basic Skills for Newly Admitted Attorneys 2018
- Internet of Things 2018: Everything is Connected
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• New Jersey Basic CLE Marathon 2018
• Ohio New Lawyers Training 2018
• Pennsylvania Bridge-the-Gap 2019
• Pocket MBA 2018: Finance for Lawyers and Other Professionals
• Pocket MBA 2019: Finance for Lawyers and Other Professionals
• Presentation Skills for Attorneys 2019
• Private Placements and Hybrid Securities Offerings 2018
• Private Placements and Hybrid Securities Offerings 2019
• Project Management for Lawyers 2018
• Project Management for Lawyers 2019
• Rising to BlackRock’s Challenge 2018: Managing Your Major Investors and Promoting Corporate Sustainability
• Rising to BlackRock’s Challenge 2019: Managing Your Major Investors and Promoting Corporate Sustainability
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- 34th Midyear SEC Reporting & FASB Forum
- 35th Annual SEC Reporting & FASB Forum
- 50th Annual Institute on Securities Regulation
- 51st Annual Institute on Securities Regulation
- Advising Nonprofit Organizations 2018
- Acquiring or Selling the Privately Held Company 2018
- Acquiring or Selling the Privately Held Company 2019
- Advanced Venture Capital 2018
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- All Star Business Development for Lawyers 2018
- All Star Business Development for Lawyers 2019
- Alternative Finance Summit 2018: Marketplace Lending, Cryptocurrency and Crowdfunding
- Alternative Finance Summit 2019: Marketplace Lending, Cryptocurrency and Crowdfunding
- Annual Disclosure Documents 2018: Current Developments and Best Practices
- Annual Disclosure Documents 2019: Current Developments and Best Practices
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- Blockchain 2.0: 2019 Legal & Regulatory Developments
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- Broker/Dealer Regulation and Enforcement 2019
- CMBS and the Real Estate Lawyer 2018: Lender and Borrower Issues in the Capital Market
- CMBS and the Real Estate Lawyer 2019: Lender and Borrower Issues in the Capital Market
- Compliance & Ethics Essentials 2018
- Compliance & Ethics Essentials 2019
- Cool Compensation Considerations for the Private Company 2018: Pay, Performance and Perspectives
- Cool Compensation Considerations for the Private Company 2019: Pay, Performance and Perspectives

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• Corporate Governance – A Master Class 2019
• Corporate Whistleblowing in 2019
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• Delaware Law Developments 2019: What All Business Lawyers Need to Know
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• Securities Litigation 2019: From Investigation to Trial
• Seventh Annual Institute on Corporate & Securities Law in Hong Kong 2019
• Storming the Gatekeepers: When Compliance Officers and In-House Lawyers Are at Risk 2018
• Storming the Gatekeepers: When Compliance Officers and In-House Lawyers Are at Risk 2019
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• 35th Annual SEC Reporting & FASB Forum
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- Storming the Gatekeepers: When Compliance Officers and In-House Lawyers Are at Risk 2019
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- Advising Clients on Community Capital Offerings
- Antitrust Issues with Joint Ventures

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• Assessing Your Compliance and Ethics Program
• Attorney Ethics – Counseling Clients Undertaking an ICO
• Avoiding Valuation Surprises in Accounting for M&A Transactions – Top 10 Issues
• Bankruptcy Appeals: What Must Be Done, When It Must Be Done and How Best to Succeed When Prosecuting or Defending a Bankruptcy Appeal
• Basics of the Federal Election Campaign Act 2018
• Beyond the California Consumer Privacy Act: “Competing” Privacy Rules and Whether Any Might Become a National Standard
• Business Development Companies
• California Consumer Privacy Act: Applies to Companies Everywhere and Not Just Consumer Data
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• In-House Counsel Pro Bono: Challenges, Best Practices, and Opportunities for Engagement
• Insurance Coverage Litigation — Insurer and Policyholder Perspectives
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• OFCCP: Key Developments Affecting Contractors and Counsel
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• Planning Your Case: Defining and Creating a Mission Plan, Risk Assessment, Discovery Plan and Litigation Budget
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• Public Company Take-Private Transactions: A Historical Perspective and What the Future May Hold
• Public Company Take-Private Transactions: A Historical Perspective and What the Future May Hold
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• Revisions to the U.S. Department of Justice’s FCPA Corporate Enforcement Policy and Other Current Trends in FCPA Investigations
• SEC’s Fiduciary Rulemaking — The Proposed Rules Are Unveiled
• Securities Fraud Litigation Against Life Sciences Companies — Recent Trends
• Shareholder Litigation Developments and Trends
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- Syndication Process: A Buyer’s Perspective
- Tax Liability Insurance: What You Need to Know Now
- Tax Reform - Getting the Accounting and Disclosure Right!
- Tax Reform – Significant Changes for the Energy Industry
- Third Annual Dealing with MD&A Hot Topics
- Three “P’s” for Improving as a Legal Writer: Professional, Process, and Preferences
- Unconscious Gender Bias in the Legal Profession: How Women (And Men!) Are Impacted — And How They Must Work Together to Fix It
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- Basics of Mutual Funds and Other Registered Investment Companies 2018
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- Broker/Dealer Regulation and Enforcement 2019
- Broker-Dealer Retail Wealth Management 2018: Current Legal and Compliance Issues Facing Dual Registrants
- Dually-Registered Broker-Dealers and Advisers — Regulatory, Compliance and Enforcement 2019
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- ERISA Plan Investments in Financial Markets 2018: The Fundamentals
- Fundamentals of Broker-Dealer Regulation 2018
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- Broker-Dealer Retail Wealth Management 2018: Current Legal and Compliance Issues Facing Dual Registrants

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- ERISA Plan Investments in Financial Markets 2018: The Fundamentals
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- Nineteenth Annual Private Equity Forum
- Pension Plan Investments 2018: Current Perspectives
- Pension Plan Investments 2019: Current Perspectives
- Twentieth Annual Private Equity Forum
- Understanding Financial Products 2018
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**Advanced**

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- Advanced Swaps & Other Derivatives 2019
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- Fashion and Retail Law 2018: Trends and Developments

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- Hot Topics in Mergers & Acquisitions 2018
- Hot Topics in Mergers & Acquisitions 2019

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- Nineteenth Annual Private Equity Forum
- Real Estate M&A and REIT Transactions 2018
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- Artificial Intelligence and Data-Driven Transactions 2018: Unique Legal Considerations
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- Arbitration in Life Sciences Transaction Disputes
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- FCPA: Cross-Jurisdictional M&A Due Diligence Case Study
- Health Care and Pharma M&A – Recent Trends
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- M&A in the Age of Trump: The Good, the Bad and the Ugly
- Political Law Due Diligence in M&A Transactions
- Public Company Take-Private Transactions: A Historical Perspective and What the Future May Hold
- Shareholder Litigation Developments and Trends
- State of the Art D&O Insurance
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- Tax Liability Insurance: What You Need to Know Now
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• Anti-Money Laundering Deskbook: A Practical Guide to Law and Compliance - Looseleaf
• Broker-Dealer Regulation, Second Edition
• Consumer Financial Services Answer Book, 2017 Edition
• Corporate Compliance Answer Book, 2019 Edition
• Corporate Legal Departments: Practicing Law in a Corporation, Fourth Edition
• Corporate Political Activities Deskbook
• Corporate Whistleblowing in the Sarbanes-Oxley/Dodd-Frank Era, Second Edition
• Covered Bonds Handbook
• Cybersecurity: A Practical Guide to the Law of Cyber Risk
• Derivatives Deskbook: Close-Out Netting, Risk Mitigation, Litigation, Second Edition
• Deskbook on Internal Investigations, Corporate Compliance and White Collar Issues, Second Edition
• Directors’ and Officers’ Liability: Current Law, Recent Developments, Emerging Issues, Third Edition
• Exempt and Hybrid Securities Offerings, Third Edition
• FDA Deskbook: A Compliance and Enforcement Guide
• Financial Institutions Answer Book: Law, Governance, Compliance, 2018 Edition
• Financial Services Regulation Deskbook
• Financially Distressed Companies Answer Book, 2019 Edition
• Global Business Fraud and the Law: Preventing and Remediating Fraud and Corruption
• Health Care Mergers and Acquisitions Answer Book, 2018 Edition
• Hedge Fund Regulation, Second Edition
• Initial Public Offerings: A Practical Guide to Going Public, Second Edition
• Insider Trading Law and Compliance Answer Book, 2019 Edition

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• Insurance and Investment Management M&A Deskbook
• International Corporate Practice: A Practitioner's Guide to Global Success
• Maritime Law Answer Book, 2016 Edition
• Master the 8-K
• Mergers, Acquisitions and Tender Offers: Law and Strategies: Corporate, Securities, Taxation, Antitrust, Cross Border
• Mutual Funds and Exchange Traded Funds Regulation, Third Edition
• Pharmaceutical Compliance and Enforcement Answer Book, 2018 Edition
• Private Equity Funds: Formation and Operation, Second Edition
• Proskauer on Privacy: A Guide to Privacy and Data Security Law in the Information Age, Second Edition
• Public Company Deskbook: Complying with Federal Governance & Disclosure Requirements, Third Edition
• SEC Compliance and Enforcement Answer Book, 2017 Edition
• Securities Investigations: Internal, Civil and Criminal, Second Edition
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