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# **Financial Institutions Answer Book**

***Law • Governance • Compliance***

## **2018 Edition**

**Edited by  
Stuart G. Stein, Richard A. Schaberg & Laura R. Biddle**

The new *Financial Institutions Answer Book 2018* addresses the continuing changes in the law, rules, and regulations to implement the provisions of the Dodd-Frank Wall Street Reform and Consumer Protection Act that apply to financial institutions. *Financial Institutions Answer Book 2018* provides the practical guidance needed by attorneys as well as the wider corporate community, and is edited by Stuart G. Stein, Richard A. Schaberg, and Laura R. Biddle, and compiles their extensive experience in the areas of financial services and financial institutions, together with valuable contributions from other distinguished authorities in these practice areas.

The discussion in *Financial Institutions Answer Book 2018* covers the major areas of interest for all of financial institutions and financial services regulation, such as the basics of establishing a banking company, including structures and powers, to the sale and acquisition of control. In order to address operations, there is extensive discussion of the basics, such as governance, capital requirements, and permissible activities, as well as critical regulatory topics, such as insider and affiliate transactions, anti-money laundering, U.S. trade sanctions, and data security. In addition, examinations and enforcement are covered in detail, including discussion of problem banks and failures.

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Since the 2017 edition, coverage has been expanded, including in the following areas: Mergers and Acquisitions—the process for obtaining approval for a savings and loan holding company-level merger or acquisition, specifically, the Federal Reserve Bank’s timeline for accepting an application for processing or returning an application for substantial incompleteness, and when it must act on the application after the end of the public comment period or after the application has been accepted for processing; and Problem Banks and Failures—the process for resolving a financial institution that is not insured.

Thank you for purchasing *Financial Institutions Answer Book 2018*. If you have questions about this product, or would like information on our other products, please contact customer service at [info@pli.edu](mailto:info@pli.edu) or at (800) 260-4PLI.